



Attorney Docket No. 10251-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of : Feilbogen, et al.
U.S. Serial No. : 09/848,931
Filing Date : May 4, 2001
Title of the Invention : METHOD AND SYSTEM FOR INITIATING
AND CLEARING TRADES
Examiner Name : Nga B. Nguyen
Art Unit : 3628

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith a PTO/SB/08A form and a list of the reference thereon in order to comply with the Applicant's duty of disclosure.

Applicants respectfully request that the document listed be considered by the Examiner and be made of record in the above-identified application. Copy of the reference is being submitted herewith.

As this Information Disclosure Statement is being submitted after the issuance of a first Office Action, the Commissioner is authorized to deduct the fee set forth in CFR 1.17(p) and any other fees due in connection with this submission from Deposit Account No. 16-2500.

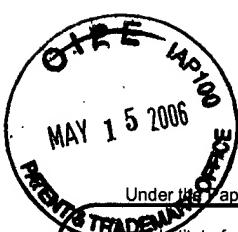
Respectfully submitted,
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12 May 2006

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Enclosures: PTO/SB/08A Form & cited reference



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Institute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known	
Application Number	09/848,931
Filing Date	May 4, 2001
First Named Inventor	Feilbogen, et al.
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Attorney Docket Number	10251-037

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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